

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

| | | | | | |
|---|---|----|---|--------------------------|------------------|
| Substitute for form 1449/PTO | | | | Complete if Known | |
| THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 10/057,523 |
| | | | | Filing Date | January 22, 2002 |
| | | | | First Named Inventor | George M. WHITE |
| | | | | Art Unit | 2626 |
| | | | | Examiner Name | Martin Lerner |
| Sheet | 2 | of | 2 | Attorney Docket Number | 2222.0820005 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| MP | US21 | 6,366,650 B1 | 04-02-2002 | Rhie et al. | |
| | US22 | 6,434,520 B1 | 08-13-2002 | Kanevsky et al. | |
| | US23 | 6,965,863 B1 | 11-15-2005 | Zuberec et al. | |
| | US24 | 2002/0072905 A1 | 06-13-2002 | White et al. | |
| | US25 | 2005/0091057 A1 | 04-28-2005 | Phillips et al. | |
| | US26 | 2005/0261907 A1 | 05-14-2001 | Smolenski et al. | |
| | US27 | 2006/0287854 A1 | 07-27-2005 | Smolenski et al. | |
| | US28 | 2006/0293897 A1 | 12-28-2006 | White et al. | |
| | US29 | | | | |
| | US30 | | | | |
| | US31 | | | | |
| | US32 | | | | |
| | US33 | | | | |
| | US34 | | | | |
| | US35 | | | | |
| | US36 | | | | |
| | US37 | | | | |
| | US38 | | | | |
| | US39 | | | | |
| | US40 | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | |
| | FP10 | | | | |
| | FP11 | | | | |
| | FP12 | | | | |
| | FP13 | | | | |
| | FP14 | | | | |
| | FP15 | | | | |
| | FP16 | | | | |
| | FP17 | | | | |
| | FP18 | | | | |

| | | | |
|--------------------|---|-----------------|----------|
| Examiner Signature |  | Date Considered | 01/30/08 |
|--------------------|---|-----------------|----------|

DOC738445_1.DOC

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

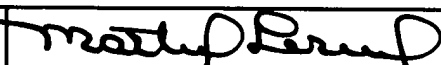
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|---|----|--------------------------|------------------|--|
| Substitute for form 1449/PTO | | | Complete if Known | | |
| THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Application Number | 10/057,523 | |
| | | | Filing Date | January 22, 2002 | |
| | | | First Named Inventor | George M. WHITE | |
| | | | Art Unit | 2626 | |
| | | | Examiner Name | Martin Lerner | |
| | | | Attorney Docket Number | 2222.0820005 | |
| Sheet | 1 | of | 3 | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
| me | NPL1 | Chin, John P., "Personality Trait Attributions to Voice Mail User Interfaces", Proceedings of the 1996 Conference on Human Factors in Computing Systems, CHI 96, Online! Apr. 13-18, 1996, pp. 248-249, XP002113878 Vancouver, BC, CA; retrieved from the Internet on 1999-09-96. | |
| me | NPL2 | Method for Appropriately Interfacing to User Characteristics in a Voice Interface System, IBM Technical Disclosure Bulletin, vol. 37, No. 3, pp. 307-308, XP000441484, New York, Mar. 1994. | |
| me | NPL3 | Database Inspec 'Online' Institute of Electrical Engineers, Stevenage, GB, Trainer et al.: "The inclusion of personality trait based adaptive interfaces into computer based learning and training environments," Database accession No. 5193879 XP992113879, Abstract and Proceedings of the Thirty-First International Matador Conference, Apr. 20-21, 1995, pp. 195-200, Manchester, UK ISBN: 0-333-64086-1. | |
| me | NPL4 | Makoto, "TNG PhoneShell (part 2). A proposal and an implementation of internet access method with telephones and facsimiles," Joho Shori Gakkai Kenkyu Hokoku, May 1995, Vol 95, No 115, pages 7-12. | |
| me | NPL5 | PwWebSpeak Overview [online]. The Productivity Works, 1996-09-04, [retrieved on 1997-04-15]. Retrieved on the Internet <URL: http://www.prodworks.com/pwwovwv.htm <.*. | |
| me | NPL6 | "New Product Makes the Internet World Wide Web Usable by the Visually Impaired", pwWebSpeak Press Release, February 12, 1996. | |
| me | NPL7 | Hakkinen et al., "pwWebSpeak: User Interface Design of an Accessible Web Browser". | |
| me | NPL8 | "'WebSpeak' opens cyberspace to visually impaired," The Times, Trenton, NJ, 3 pages (Feb. 12, 1996). cited by other. | |
| me | NPL9 | Christodoulakis et al. "The Multimedia Object Presentation Manager of MINOS: A symmetric approach," SIGMOD, Vol. 15, No. 2, pgs. 295-310, June 1986. | |
| me | NPL10 | Zue, "Navigating the Information Superhighway Using Spoken Language Interfaces", IEEE Expert, October 1995, pgs. 39-43. | |

| | | | |
|--------------------|---|-----------------|----------|
| Examiner Signature |  | Date Considered | 01/30/08 |
|--------------------|---|-----------------|----------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DOC#738449-1.DOC

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | |
|--|---|----|--------------------------|------------------|
| Substitute for form 1449/PTO | | | Complete if Known | |
| THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Application Number | 10/057,523 |
| | | | Filing Date | January 22, 2002 |
| | | | First Named Inventor | George M. WHITE |
| | | | Art Unit | 2626 |
| | | | Examiner Name | Martin Lerner |
| | | | Attorney Docket Number | 2222.0820005 |
| Sheet | 2 | of | 3 | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published | T ² |
| ME | NPL11 | Caldwell et al., "Project Echo - Telephonic Browser for the WWW," < http://www.cc.gatech.edu/people/home/tgay/echo.html > 4/15/97. | |
| ME | NPL12 | James, "Presenting HTML Structure in Audio: User Satisfaction with Audio Hypertext," < http://www-pcd.stanford.edu/~fjames/reports/pilor-tr/techrep-pilot.html > 4/14/97. | |
| ME | NPL13 | James, "AHA: Audio HTML Access" < http://www-pcd.stanford.edu/~fjames/aha/www6/PAPER296.html > 4/14/97. | |
| ME | NPL14 | Novick, et al., "A multimodal browser for the World-Wide Web". | |
| ME | NPL15 | House, "Spoken-language Access to Multimedia (SLAM)," Master's Thesis, Oregon Graduate Institute. | |
| ME | NPL16 | Gabbe et al, "Towards Intelligent Recognition of Multimedia Episodes in Real-Time Applications," Proceedings of ACM Multimedia, 1994, pp. 227-236. | |
| ME | NPL17 | Hauptmann et al, "Story Segmentation and Detection of Commercials in Broadcast News Video," ADL-98 Advances in Digital Libraries, Santa Barbara, CA, April 22-24, 1998. | |
| ME | NPL18 | Kemp et al, "Strategies for Automatic Segmentation of Audio Data," in Proc.ICASSP, Istanbul, Turkey, 2000, pp. III: 1423-1426. | |
| ME | NPL19 | Groner, "The telephone--the ultimate terminal", Telephony, pp. 34-40, Jun. 1984. | |
| ME | NPL20 | Arita et al., "The Voice Browser--an Archetype-Based Dialog Model", NEC Res & Develop., vol. 36 No. 4. pp. 554-561, Oct. 1995. | |

| | | | |
|--------------------|----------------------|-----------------|----------|
| Examiner Signature | <i>Martin Lerner</i> | Date Considered | 01/30/08 |
|--------------------|----------------------|-----------------|----------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DOC#738449_1.DOC

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | |
|---|---|--------------------------|------------------|
| Substitute for form 1449/PTO | | Complete if Known | |
| THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Application Number | 10/057,523 |
| | | Filing Date | January 22, 2002 |
| | | First Named Inventor | George M. WHITE |
| | | Art Unit | 2626 |
| | | Examiner Name | Martin Lerner |
| | | Attorney Docket Number | 2222.0820005 |
| Sheet | 3 | of | 3 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published | T ² |
| me | NPL21 | Hemphill et al., "Surfing the Web by Voice", ACM 0-89791-751-0-95/11 pp. 215-222, Nov. 1995. | |
| me | NPL22 | WebSpeak Browser Guides Blind on to Internet. The Sunday Times, Feb. 25, 1996, [retrieved on 1997-04-97]. Retrieved from the Internet <URL: http://www.prodworks.com/st960225.htm >.*. | |
| me | NPL23 | Aguilar, R. Visually Impaired Get Talking Browser. News.com[online], 1996-02-12, [retrieved on 1997-02-12]. Retrieved from the Internet <URL: http://www.news.com/News/Item/0,4,642,00.htm >.*. | |
| me | NPL24 | Dunlap, C. AT&T: Internet can talk, too. Computer Reseller News, Nov. 11, 1994, Iss. 607; p. 12 [retrieved on 200-07-06]. RETrieved from the Internet <URL: http://proquest.umi.com/-22 .*. | |
| me | NPL25 | Davis P: "Examining Microsoft's Speech SDK", Dr. Dobb's Journal, July 1999, Miller Freeman, USA, vol. 24, no. 7, pages 86, 88-90, XP008005211, ISSN: 1044-789X | |
| me | NPL26 | International Search Report, dated August 13, 2002, for Patent Application No. PCT/US2002/014994, 2 pages. | |
| me | NPL27 | Andrew G. Smolenski et al., Appl. No. 09/855,004, filed May 14, 2001, entitled, "Voice integration platform". | |
| me | NPL28 | Andrew G. Smolenski et al., Appl. No. 09/858,281, filed May 15, 2001, entitled, "System and Method for Generating a Specific Voice User Interface" | |
| me | NPL29 | Reeves, B. and Nass, C., The Media Equation: How People Treat Computers, Television, and New Media Like Real People and Places, pp. 89-108, ISBN No. 1-57586-052-X, CSLI Publications (1996). cited by other. | |
| | NPL30 | | |

| | | | |
|--------------------|----------------------|-----------------|----------|
| Examiner Signature | <i>Martin Lerner</i> | Date Considered | 01/30/02 |
|--------------------|----------------------|-----------------|----------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DOC#738449_1.DOC

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.